PTO/SB/17 (12-04v2)

Approved for use through 7/31/2006. OMB 0651-0032

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Complete if Known Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). 09/589,288-Conf. #1519 Application Number **TRANSMITTAL** Filing Date June 8, 2000 Guo-Liang Yu First Named Inventor For FY 2005 Examiner Name B. E. Bunner Applicant claims small entity status. See 37 CFR 1.27 1647 Art Unit PF343P3C5 **TOTAL AMOUNT OF PAYMENT** 180.00 Attorney Docket No.

Check Credit Card Money Order None Other (please identify): X Deposit Account Deposit Account Number: 08-3425 Deposit Account Name: Human Genome Sciences, Inc. For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) X Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee X Charge any additional fee(s) or underpayment of fee(s) under 37 CFR 1.16 and 1.17 FEE CALCULATION CFARCH AND EXAMINATION FEES					
For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) X Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee X Charge any additional fee(s) or underpayment of fee(s) under 37 CFR 1.16 and 1.17 Credit any overpayments FEE CALCULATION Charge fee(s) indicated below, except for the filing fee X Credit any overpayments Credit					
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4 DASIC FILING SEADOL AND EVANIMATION FEED					
1. BASIC FILING, SEARCH, AND EXAMINATION FEES					
FILING FEES SEARCH FEES EXAMINATION FEES					
Small Entity Small Entity Small Entity Application Type Fee (\$) Fee (\$) Fee (\$) Fee (\$) Fee (\$) Fee (\$)					
Utility 300 150 500 250 200 100					
Design 200 100 100 50 130 65					
Plant 200 100 300 150 160 80					
Reissue 300 150 500 250 600 300					
Provisional 200 100 0 0 0 0					
2. EXCESS CLAIM FEES Small Entit					
Fee (\$) Fee (\$)					
Each claim over 20 (including Reissues) 50 25					
Each independent claim over 3 (including Reissues) 200 100					
Multiple dependent claims 360 180					
Total Claims					
= x = <u>Fee (\$)</u> <u>Fee Paid (\$)</u>					
Indep. Claims					
x =x					
3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer					
listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50					
sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).					
Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$)					
- 100 = /50 (round up to a whole number) x =					
4. OTHER FEE(S) Fees Paid (\$)					
Non-English Specification, \$130 fee (no small entity discount)					
Other (e.g., late filing surcharge): 1806 Submission of an Information Disclosure Statement 180.00					

SUBMITTED BY					
Signature	Michele Shannon	Registration No. (Attorney/Agent)	47,075	Telephone	(301) 354-3930
Name (Print/Type)	Michele Shannon			Date Ju	ne 10,2005



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Yu et al.

Docket No.: PF343P3C5

Application No.: 09/589,288

Confirmation No.: 1519

Filed: June 8, 2000

Art Unit: 1647

For: Methods of Treatment Using Antibodies to

Neutrokine-alpha (As Amended)

Examiner: B. E. Bunner

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure imposed by 37 C.F.R. § 1.56 to inform the Patent and Trademark Office of all references coming to the attention of each individual associated with the filing or prosecution of the subject application, which are or may be material to the patentability of a claim of the subject application, Agent for Applicants hereby directs the Examiner's attention to references E1-E3 listed on the attached Form PTO/SB/08. A copy of the non-U.S. patent reference is enclosed.

Identification of the listed references is not to be construed as an admission of any individual associated with the filing or prosecution of the subject application that such references are available as "prior art" against the subject application. Furthermore, Applicants do not waive any rights to take appropriate action to establish patentability over any of the listed documents should they be applied as references against the claims of the subject application.

The listed references are presented so that the Patent and Trademark Office can determine any materiality thereof to the claimed invention. See 37 C.F.R. § 1.104(a) concerning the Examiner's duty to consider and use any such information. Applicants respectfully request that the Examiner make the listed references of record in the file history of the application, and consider the information contained therein during the prosecution of this application.

Pursuant to 37 C.F.R. § 1.97(d), since this Information Disclosure Statement is being filed after the mailing date of a Final Office Action, or a Notice of Allowance under 37 C.F.R.

§ 1.311, or an action that otherwise closes prosecution, but before payment of the Issue Fee, the Patent and Trademark Office will consider this Information Disclosure Statement if it is accompanied by a statement as specified in 37 C.F.R. § 1.97(e) and by the fee as specified in 37 C.F.R. § 1.17(p).

Accordingly, the undersigned certifies pursuant to 37 C.F.R. § 1.97(e)(2) that no item of information contained in the Form PTO/SB/08 submitted herewith was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the Form PTO/SB/08 submitted herewith was known to any individual designated in § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Specifically, reference E1, U.S. Patent No. 6,869,605, issued March 22, 2005 and Reference E2, U.S. Patent No. 6,875,846, issued April 5, 2005. Applicants note that the earliest priority date of both patents are well after the earliest priority date of the present application. Moreover, both provisional applications to which U.S. Patent No. 6,869,605 claims priority, i.e. provisional application numbers 60/117,169 and 60/143,228, were already disclosed to the Patent Office in this case with Applicants' Information Disclosure Statement filed August 20, 2001 (see references A11 and A12).

Additionally, reference E3, was submitted to the European Patent Office on March 14, 2005 in conjunction with Opposition Proceedings against EP Patent No. 1146892 issued to Biogen Inc. and Apoxis SA. Reference E3 is the response of Biogen Inc. and Apoxis SA to Oppositions of EP Patent No. 1146892 lodged by Human Genome Sciences Inc. (HGS) and Serono International SA (Serono). Applicants are submitting E3 because statements have been made in E3 characterizing the disclosure of International Application Number PCT/US96/17957, an application to which the present application claims priority. (See, for example, paragraph 2.2, paragraph 2.5, section 6.2 and section 7 on pages 2, 3, 10-16 and 21-24 of reference E3, respectively). International Application Number PCT/US96/17957 published as International Patent Publication Number WO98/18921 which is referred to as reference D1 below and as reference C1 in reference E3.

To set reference E3 in context for the Examiner, Applicants also provide herewith a copy of EP Patent No. 1146892 issued to Biogen Inc. and Apoxis SA, and copies of Human Genome Sciences' (HGS) and Serono's Oppositions to EP Patent No.1146892. In HGS' opposition, HGS cited references D1-D10. Serono also relied on references D1-D10, in-part, and

additionally cited references D11-D14 in its Opposition filings. References D1-D3 and D5-D10 relied on by HGS in its Opposition filing were already cited to the Patent Office in this case as reference B3, A33, A13, A62, A57, A59, A5, A11 and A12 (See Applicants' Information Disclosure Statements filed August 20, 2001 for "A" references and May 3, 2002 for "B" references). Reference D4 relied on by HGS in its Opposition filing is the priority document to reference A20 cited in this case in Applicants' August 20, 2001 Information Disclosure Statement. Additional documents D11, D12 and D14 relied upon by Serono in its Opposition filing were already cited to the Patent Office in this case as references A30, A29 and A21 in Applicants' August 20, 2001 Information Disclosure Statement. Reference D13 relied upon by Serono has not been cited in the present application, but is a tangential reference that relates to the definition of the term "hypertension." Finally, reference E3 makes reference to document C12, a post filing date review article by David Wallach, dated 2001. Copies of references D13 relied upon by Serono and C12 cited in E3 are provided herewith as a courtesy to the Examiner.

The Patent & Trademark Office is hereby authorized to charge our Deposit Account No. 08-3425 in the amount of \$180.00 as payment of the required fee, as itemized on the Fee Transmittal Sheet submitted concurrently herewith. The Patent & Trademark Office is also authorized to charge any additional required fee or credit any overpayment in connection with this submission to our Deposit Account No. 08-3425.

Dated: June 10, 2005

Respectfully submitted,

Michele Shannon

Registration No.: 47,075

HUMAN GENOME SCIENCES, INC.

By Michele Shannon

Intellectual Property Dept. 14200 Shady Grove Road Rockville, Maryland 20850

(301) 354-3930

KKH/MS/ba

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Substitute for form 1449A/B/PTO				Complete if Known			
				Application Number	09/589,288-Conf. #1519		
11	NFORMATION	I DI	SCLOSURE	Filing Date	June 8, 2000		
STATEMENT BY APPLICANT			APPLICANT	First Named Inventor	Guo-Liang Yu		
				Art Unit	1647		
(Use as many sheets as necessary)		Examiner Name	B. E. Bunner				
Sheet	1	of	1	Attorney Docket Number	PF343P3C5		

	U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ² (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
	E1	6,869,605	03-22-2005	Browning et al.			
	E2	6,875,846	04-05-2005	Rennert et al.			

		FOREIG	ON PATENT	DOCUMENTS		
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS						
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²			
	E3	Biogen Inc. and Apoxis SA's Response (including Annexes A and B and the Main Request containing a substitute set of claims) to Human Genome Sciences and Serono's Oppositions of EP Patent No. 1146892. The Response was filed in the European Patent Office on March 14, 2005.				

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Examiner	Date
	56.6
Signature	Considered
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¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.